CHANGE OF PLEA 1203.4 PACKET

What you will find in this packet:

- Petition to Change Plea Instructions (CR-500a-INFO)
- Petition for Dismissal (CR-180)
- Order or Dismissal (CR-181)
- Statement of Assets (CR-506)
- **Declaration** (MC-031)

CALIFORNIA

Superior Court of California, County of Contra Costa

CHANGE OF PLEA AND DISMISSAL PENAL CODE SECTIONS 1203.4, 1203.4a, and §17

You are eligible for a Change of Plea and Dismissal under Section 1203.4 or 1203.4a if:

- Your sentence included a fine, probation and/or county jail (not state prison);
- You have completed the jail sentence for that conviction;
- You are no longer on probation or parole for any conviction;
- You do not have any pending charge against you in any jurisdiction.

PLEASE NOTE:

- If you completed probation successfully, (no revocations, arrests, other convictions), the court will typically grant your Change of Plea and Dismissal.
- If you did not complete probation successfully, the Court may or may not decide to grant your Change of Plea and Dismissal. Attach supporting documents (letters of support, completion of education program, Certificate of Completion for job training or drug/alcohol rehabilitation program, etc.) to your petition(s).
- If you were sentenced to prison, you can apply for a Certificate of Rehabilitation seven to ten years after being released from custody. You may apply for the Certificate of Rehabilitation in the superior court of the county where you live now, even if your convictions were in another county (See Penal Code Section 2852.06).
- If all of your convictions are dismissed under Penal Code Section 1203.4 or 1203.4a:
 - You have the legal right to say *No Convictions* on <u>private</u> job applications, and those convictions should not appear on <u>private</u> background checks.
 - When applying for an occupational license, a Change of Plea and Dismissal can be used to demonstrate rehabilitation.

About Felony Reduction(s) under Section 17:

- If the felony conviction could have originally been sentenced either as a misdemeanor or as a felony, it is eligible to be reduced to a misdemeanor before being dismissed under Section 1203.4 or 1203.4a. Submit supporting documents (described above) with your petition.
- Felony convictions reduced under Section 17 become misdemeanors for all purposes.
- If you were sentenced to state prison (or the state prison sentence was suspended), you are not eligible to have your felony reduced to a misdemeanor.

INSTRUCTIONS FOR CHANGE OF PLEA PETITION

Contact the Public Defender at (925) 335-8000 for Contra Costa County convictions - **OR** -

Fill out and file the attached court forms yourself.

- 1. If you fill out the forms yourself, complete a separate petition for each case/docket number and:
 - a. Include the correct case/docket number, date of conviction, and the exact code section(s) of each conviction on each petition.
 - b. Bring 2 copies and the original of each petition when you file these forms.
 - c. You may be required to pay reimbursement costs up to \$150 for felony and misdemeanor convictions where you served a term of probation; or \$60 for a misdemeanor conviction where no probation was involved and any infraction. You can pay by cash, credit card, money order, cashier's check, or traveler's check.
 - d. If you cannot afford to pay the reimbursement costs, fill out the attached Financial Evaluation form and the court will make a decision about your ability to pay at the time your petition is decided.
 - e. If you fail to pay court-ordered reimbursement costs by the due date, the debt will be sent to the court's collection agency (AllianceOne), and you will have to pay an additional administrative fee of up to \$50.00 (See Penal Code Section 1205(d)).
 - f. If you have a felony conviction, file your petition(s) for a Change of Plea and Dismissal for all of your convictions in Martinez.
 - g. If you have more than one misdemeanor conviction (from different courthouses), file your petition(s) in the court where the most recent misdemeanor case was filed. All of your petitions will be heard in the court where the petition(s) is filed at the same time:

IF YOU HAVE THESE KINDS OF CASE(S):	FILE YOUR PETITION AT THE	COURT ADDRESS	CLERK'S OFFICE
 All Felonies Felonies with other Misdemeanor case(s) Misdemeanors Misdemeanors with Infractions And the most recent misd. case was in Walnut Creek, Concord/Mt. Diablo 	Wakefield Taylor Courthouse	725 Court Street Room 127 Martinez, CA 94553	(925) 608-1000
 Misdemeanors Misdemeanors with Infractions Infractions And the most recent misd. case was in Pittsburg 	Arnason Justice Center Courthouse	1000 Center Drive, Pittsburg, CA 94565	(925) 608-1000
 Misdemeanors Misdemeanors with Infractions Infractions And the most recent misd. case was in Richmond 	George D. Carroll Courthouse	100 – 37 th Street, Richmond, CA 94805	(925) 608-1000
Infractions	Walnut Creek Courthouse	640 Ygnacio Valley Road, Walnut Creek, CA 94596	(925) 608-1000

What happens after the Change of Plea form is filed?

- 1. The Probation Department or Court Probation will conduct an investigation. This includes a criminal history search.
- 2. The Probation Department or Court Probation will recommend that the judge either grant or deny your request for a Change of Plea and Dismissal.

PLEASE NOTE:



If Probation recommends approving your Change of Plea and Dismissal, and the judge agrees, there will be no hearing. The Change of Plea and dismissal will be granted, and the court order will be sent to the address listed on the petition(s).



If Probation recommends that the judge deny your Change of Plea and Dismissal, or the judge or the district attorney requests a hearing, a hearing date will be set. The court will send a notice with a hearing date to the address listed on the petition(s).

QUESTIONS AND ANSWERS ABOUT SECTION 1203.4 or 1203.4a DISMISSALS

1	WILL THE CONVICTION BE COMPLETELY "CLEARED," "ERASED," "SEALED," OR "DESTROYED?"	NO. The initial conviction is still in the court file, and on file with the Department of Justice.
2	When do I have to disclose my conviction?	 In any direct question in a questionnaire or application for public office For a license by any state or local public agency For contracting with the California State Lottery Commission.
3	Will my conviction still count as a "strike" or a "prior"?	Yes.
4	Can the conviction still be used as grounds for deportation in an immigration proceeding?	Yes.
5	Will my right to possess a firearm be restored?	No.
6	If I am required to register as a sex offender, will the Change of Plea and Dismissal remove that requirement?	No.
7	If I am required to register as a narcotics offender under Section 11590 of the Health and Safety Code, will the Change of Plea and Dismissal remove that requirement?	Yes. You do not have to wait until 5 years after probation ends.
8	If my driver's license was suspended or revoked, will the Change of Plea and Dismissal reinstate it?	No. Check with the DMV for more information.

						OIX-IC
ATTC	ORNEY OR PARTY WITHO	OUT ATTORNEY	STATE BAR NUMBER:			FOR COURT USE ONLY
NAM	E:					
FIRM	NAME:					
STRE	EET ADDRESS:					
CITY	:		STATE: ZIP C	ODE:		
TELE	PHONE NO.:		FAX NO.:			
EMAI	L ADDRESS:					
ATTC	RNEY FOR (name):					
SUF	PERIOR COURT OF	CALIFORNIA,	COUNTY OF			
STR	REET ADDRESS:					
	LING ADDRESS:					
CITY	AND ZIP CODE:					
PE	OPLE OF THE ST	TATE OF CAL	IFORNIA		CASE NUMBER:	
	- FAID ANIT	٧.				
DEI	ENDANT:					
						FOR COURT USE ONLY
		PETI	TION FOR DISMISSAL		DATE:	
(F	Pen. Code, §§ 17	(b), 17(d)(2), 1	203.4, 1203.4a, 1203.41, 1203.42	2, 1203.43, 1203.49)	TIME:	
					DEPARTI	MENT:
und cou you	er Penal Code se rt, including felony can request your	ction 1203.425 reduction und Record of Arr	may have already been automation. If so, this petition may be unneder Penal Code section 17(b). If your est and Prosecution (RAP) sheet	essary—but there mouwant to know if your moment to know if your moment the DOJ, but this	ay be addition ur conviction s is not requir	al benefits to filing it with the has already been dismissed, ed.
	On <i>(date):</i> following offenses		e petitioner (the defendant in the a d deferred entry of judgment for the			convicted of a violation of the
	Code (Penal, Vehicle, etc.)	Section	Type of offense (felony, misdemeanor, or infraction)	Eligible for red misdemeanor (Code, § 17(b) (under Penal	Eligible for reduction to infraction under Penal Code, § 17(d)(2) (yes or no)
Ì						
•						
ı	If additional space	is needed for	listing offenses, use Attachment t	o Judicial Council Fo	orm (form MC-	025).
2. [Felony or n	nisdemeanor	with probation granted (Pen. Co	ode. § 1203.4)	•	,
- - (Probation w serving a se	as granted on	the terms and conditions stated in y offense, on probation for any offense.	the docket of the al		
,			ppry) ions of probation for the entire per	iod thereof		
			from probation prior to the termina		reof	
		•		•		Alan a diamina di Colonia
	in the in other re	terests of justic levant docume	ef in the interests of justice. (Pleas ce. You can provide that informati ents. If you need more space for yo form MC-031) (which is signed un	on by writing in the spour writing, you can u	pace below, o use Attachmer	r by attaching a letter or nt (form MC-025) or

CR-180

Р	EOPLE (OF THE STATE OF CALIFORNIA v. DEFENDANT:	CASE NUMBER:
3.	Pı th	isdemeanor or infraction with sentence other than probation (<i>Pen. Code</i> , stobation was not granted; more than one year has elapsed since judgment was elapsed sentence of the court and is not serving a sentence for any offense or current elapset petitioner (<i>check one</i>) has lived an honest and upright life since pronouncement of judgment and color	pronounced. Petitioner has complied with tly charged with committing any crime; and
	b	should be granted relief in the interests of justice. (Please note: You may expit the interests of justice. You can provide that information by writing in the space relevant documents. If you need more space for your writing, you can use Atta Declaration (form MC-031) (which is signed under penalty of perjury) and atta	se below or by attaching a letter or other achment (form MC-025) or Attached
4.	Pe be (F th	estitioner has completed a term of probation for a conviction under Penal Code secause the conviction was the result of petitioner's status as a victim of human to elease provide evidence that the conviction was the result of your status as a viction at information by writing in the space below or by attaching a letter or other release writing, you can use Attachment (form MC-025) or Attached Declaration (for perjury) and attach it to this petition.)	section 647(b) and should be granted relief trafficking. ctim of human trafficking. You can provide evant documents. If you need more space for
5.	§	elony county jail sentence under Penal Code section 1170(h)(5) or felony s	
	pr	etitioner is not on parole or under supervision under Penal Code section 1170(hobation for, or currently charged with committing any crime; and should be grar heck one)	
	a	more than one year has elapsed since petitioner completed the felony county supervision imposed under Penal Code section 1170(h)(5)(B).	jail sentence with a period of mandatory
	b	more than two years have elapsed since petitioner completed the felony cour mandatory supervision imposed under Penal Code section 1170(h)(5)(A).	nty jail sentence without a period of
	C	more than two years have elapsed since petitioner completed the felony state result in a requirement to register as a sex offender under Chapter 5.5 (startin Penal Code.	g with section 290) of Title 9 of Part 1 of the
		(Please note: You may explain why granting a dismissal would be in the interinformation by writing in the space below or by attaching a letter or other releving your writing, you can use Attachment (form MC-025) or Attached Declaration penalty of perjury) and attach it to this petition.)	vant documents. If you need more space for

F	PEOPLE	OF THE STATE OF CALIFORNIA v. DEFENDANT:	CASE NUMBER:	
6.		elony prison sentence that would have been eligible for a felon enal Code section 1170(h)(5) (Pen. Code, § 1203.42)	county jail sentence after 2011 under	
	а	etitioner is not under supervision and is not serving a sentence for, only crime; more than two years have elapsed since petitioner complemented relief in the interests of justice.		
	b _y	Please note: You may explain why granting a dismissal would be in a with writing in the space below or by attaching a letter or other relevant ou can use Attachment (form MC-025) or Attached Declaration (form that it to this petition.)	documents. If you need more space for you	r writing,
7.	P	eferred entry of judgment (Pen. Code, § 1203.43) etitioner performed satisfactorily during the period in which deferred narge(s) were dismissed under former Penal Code section 1000.3 or		
	a	court records are available showing the case resolution; or		
	b	petitioner declares under penalty of perjury that the charges were for deferred entry of judgment. Petitioner (<i>check one</i>) has	dismissed after petitioner completed the req	uirements
	(2)	has not attached a copy of petitioner's state summary criminal history info	mation.	
8.		er requests that the eligible felony offenses listed above be reduced misdemeanor offenses be reduced to infractions under Penal Code		17(b) and
9.		er requests that petitioner be permitted to withdraw the plea of guilty not guilty be entered and the court dismiss this action under the Per		aside and a
Da	ate:		•	
		(TYPE OR PRINT NAME)	(SIGNATURE OF PETITIONER OR ATTOF	RNEY)

CR-181 ATTORNEY OR PARTY WITHOUT ATTORNEY STATE BAR NUMBER: FOR COURT USE ONLY NAME: FIRM NAME: STREET ADDRESS CITY: STATE: ZIP CODE: TELEPHONE NO.: FAX NO.: EMAIL ADDRESS ATTORNEY FOR (name): SUPERIOR COURT OF CALIFORNIA, COUNTY OF STREET ADDRESS: MAILING ADDRESS CITY AND ZIP CODE: PEOPLE OF THE STATE OF CALIFORNIA v DEFENDANT: CASE NUMBER: ORDER FOR DISMISSAL (Pen. Code, §§ 17(b), 17(d)(2), 1203.4, 1203.4a, 1203.41, 1203.42, 1203.43, 1203.49) From the petition filed in this matter, the records of the court, and any other evidence presented in this matter, the court orders as follows: 1. The court **GRANTS** the petition for reduction of a felony to a misdemeanor (maximum punishment of 364 days per Pen. Code, § 18.5) under Penal Code section 17(b) and/or for reduction of a misdemeanor to an infraction under Penal Code section 17(d)(2) and reduces ALL FELONY CONVICTIONS in the above-entitled action. ALL MISDEMEANOR CONVICTIONS in the above-entitled action. only the following convictions in the above-entitled action (specify charges and date of conviction):

3.

		CR-181
F	PEOPLE OF THE STATE OF CALIFORNIA v. DEFENDANT:	CASE NUMBER:
4.	The court DENIES the petition for dismissal under Penal Code (check all that applications) \$ 1203.4 \$ 1203.4a \$ 1203.41 \$ 1203.42 \$ 1203.43 a. ALL CONVICTIONS OR PLEAS FOR DEFERRED ENTRY OF JUDGMENT in b. only the following convictions or pleas for deferred entry of judgment in the about date of conviction or plea for deferred entry of judgment):	§ 1203.49 for <i>(check one)</i> the above-entitled action.
5.	 In granting this order under the provisions of Penal Code section 1203.49, the conhuman trafficking when petitioner committed the crime. a. The court orders (check one): (1) the relief described in section 1203.4. (2) the relief described in section 1203.4, with the following exceptions (special period): 	·
	b. The Department of Justice is hereby notified that petitioner was a victim of human tr crime, and notified of the relief ordered.	afficking when petitioner committed the
6.	If this order is granted under the provisions of Penal Code section 1203.4, 1203.41, or 1	203.42,
	a. the petitioner is required to disclose the above conviction in response to any direct of application for public office, or for licensure by any state or local agency (or, under for a federally recognized tribe or for enrollment as a provider of in-home supportive se services" (see Welf. & Inst. Code, §§ 12300–12318, 14132.95, 14132.952, 14132.952). California State Lottery Commission; and	Penal Code section 1203.41, for licensure by rvices and "waiver personal care 56, 14132.97)), or for contracting with the
	b. dismissal of the conviction does not <i>automatically</i> relieve petitioner from the require (See, e.g., Pen. Code, § 290.5.)	ment to register as a sex offender.
7.	If this order is granted under the provisions of Penal Code section 1203.4, 1203.4a, 120 released from all penalties and disabilities resulting from the offense except as provided (formerly sections 12021 and 12021.1) and Vehicle Code section 13555. In any subsequence of the offense, the prior conviction may be pleaded and proved and shall have the same or the accusation or information dismissed. The dismissal does not permit a person to confirm figure of the person to convict on the permit aperson prohibited from holding public office as a result of that conviction to	I in Penal Code sections 29800 and 29900 uent prosecution of the petitioner for any effect as if probation had not been granted own, possess, or have in their control and 12021.1). Dismissal of a conviction does
8.	Dismissal under Penal Code section 1203.4 or 1203.4a does not release petitioner from conditions of any unexpired criminal protective order issued under Penal Code section 368(<i>I</i>), or 646.9(k).	136.2(i)(1), 273.5(j),
9.	In addition, as required by Penal Code section 299(f), relief under Penal Code section 1 1203.4, 1203.4a, 1203.41, 1203.42, or 1203.49 does <i>not</i> release petitioner from the sep duty to provide specimens, samples, or print impressions under the DNA and Forensic Database and Data Bank Act (Pen. Code, § 295 et seq.) if petitioner was found guilty by guilty by reason of insanity, or pled no contest to a qualifying offense as defined in Pena 296(a).	parate administrative dentification y a trier of fact, not
10	The basis for an order of dismissal granted under the provisions of Penal Code section invalidity of petitioner's prior plea due to misinformation in former Penal Code section 10 actual consequences of making a plea and successful completion of a deferred entry of	000.4 regarding the
11	. Notice: Except as provided in Penal Code section 1203.425(a)(4), if this order is granted	d under Penal Code section 1203.4, 1203.4a

11. Notice: Except as provided in Penal Code section 1203.425(a)(4), if this order is granted under Penal Code section 1203.4, 1203.4a 1203.41, or 1203.42, the court must not disclose information concerning a conviction granted relief to any person or entity, in any format, except to the person whose conviction was granted relief or to a criminal justice agency.

Date:

NAME :				FOR COURT USE ONLY
	PEOPLE OF THE STATE OF	CALIFORNIA		
	vs.			
DEFEN	INMA COPCOURT: INSECT ADDRESS: INMAN			
MANE OF COURT: STREET ADDRESS MAJAN ADDRESS MAJAN ADDRESS MAJAN ADDRESS PEOPLE OF THE STATE OF CALIFORNIA vs. DEFENDANT: DEFENDANT: STATEMENT OF ASSETS / FINANCIAL EVALUATION (PC 1203.4) It is a misdemeanor to make any willful misstatement of material fact in completing this form (Pen. Code, § 1202.4(f)(4 (Attach additional sheets if the space provided below for any item is not sufficient.) PERSONAL INFORMATION a. Defendant's name: b. Date of brint: c. Telephone number: DEFENDANT'S PRESENT EMPLOYMENT a. Occupation: b. Name of employer: c. Address: d. Gross pay per: Month \$ Week \$ Day \$ Take-home pay per month: \$ 2) \$ 3) OTHER MONTHLY INCOME a. Unemployment and/or disability: b. Social Security: c. Walfare, TaNF: d. Worker's Compensation: e. Child Support Payments: f. Spousal Support Payments:				
L is a r			fact in completi	ing this form (Don Code \$ 4202 4/5)/A
it is a i	·			-
PERSO	•	, are space provided	solow for any no	m ie net eameiena)
		C	Telephone num	nher:
-			•	
		G	2	
	-			
		Magk C	D ¢	
			Day \$	
1.				
	•			
	3)	Ψ		
OTHER	R MONTHLY INCOME			
a.	Unemployment and/or disability:	\$		
b.		\$		
C.	Welfare, TANF:	\$		
d.		\$		
e.				
f.		\$		
g.	All other income not else where listed:	\$		
IF NOT	WORKING STATE THE NAME AND AF	DRESS OF LAST I	MPI OYER AND	O LAST DATE OF EMMPLOYMENT
		DREGG OF EACT	IIII EOTEK AND	PLACE DATE OF EMMINE ESTIMENT
	-			
		Week \$	Dav \$	
- 3		` ,		
	•			
	3)	\$		

OTHER	R MONTHLY INCOME	
a.	Unemployment and/or disability:	\$
b.	Social Security:	\$
c.	Welfare, TANF:	\$
d.	Worker's Compensation:	\$
e.	Child Support Payments:	\$
f.	Spousal Support Payments:	\$
g.	All other income not else where listed:	\$
EXPEN	ISES	
a.	Rent or house payment:	\$
b.	Car payments:	\$
C.	Transportation payments:	\$
d.	Loan payments:	\$
e.	Clothing and laundry:	\$
f.	Food	\$
g.	Support payments:	\$
h.	Insurance payments:	\$
i.	Other payments (utilities, taxes):	\$
	state value of any assets you own h, cars, income tax refund due, life insuran	ssssssssss
	TOTAL:	\$
		Declaration of Defendant of perjury that the foregoing is true and correct.

(Signature of Defendant)

PLAINTIFF/PETITIONER:		CASE NUMBER:	MC-0
PLAINTIFF/PETITIONER. DEFENDANT/RESPONDENT:		S. ICE HOMBEN.	
	DECLARATION		
(This form must be attached to and	other form or court paper b	efore it can be filed in court.)	
eclare under penalty of perjury under the laws of the	State of California that the	foregoing is true and correct.	
ate:			
(TYPE OR PRINT NAME)		(SIGNATURE OF DECLARANT)	
,			
	Attorney	for Plaintiff Petitioner	☐ Defend